



Account Opening Form (Individual)

Individual Joint

In compliance with the Capital Markets and Services Act 2007, this Account Opening Form should not be circulated unless accompanied by the relevant master prospectus(es)/ prospectus(es) and its supplementary(ies) (if any) [collectively shall be referred to as Disclosure Document]. Investor(s) should read and understand the contents of the Disclosure Document.

Please complete in BLOCK LETTERS only, and tick(✓) where applicable. For first time investor(s), this Account Opening Form is required to be completed. KAF Investment Funds Berhad shall be referred to as **KAF IF** in this Account Opening Form.

1. PARTICULARS OF INDIVIDUAL/PRINCIPAL APPLICANT

Name of Individual/Principal Applicant

(as per NRIC / Passport /Others)

New NRIC No. / Passport No / Others

Date of Birth (DD/MM/YYYY)

Residential Address

Postcode

State:

Town / City:

Country:

Correspondence Address

(if different from residential address)

Postcode

State:

Town / City:

Country:

Telephone No. (office)

Telephone No.(house)

Handphone No. (mobile)*

Email Address*

Nationality

Country of Residence

Religion

Race

Malay Chinese Indian Others, please specify

Marital Status

Married Single Widowed Divorced No. of Dependants (please indicate)

Gender

Male Female

Employment Status

Employed Self-employed Unemployed

Occupation

Name of Employer

Employer / Self-employment

Address

Postcode

State:

Town / City:

Country:

Nature of Business

Annual Income

≤ RM60,000 ≤ RM120,001 - RM180,000 > RM240,001
 ≤ RM60,001 - RM120,000 ≤ RM180,001 - RM240,000

Asset Net Worth

≤ RM300,000 RM300,000 - RM1million RM1million - RM3million > RM3million

Source of Wealth and Net Worth

Salary Business Income Investment Returns Pension Funds
 Rental Income Inheritance
 Others, please specify:

Purpose of Investment

Expected Investment Amount per annum / Maximum amount to be invested per annum

Anticipated transaction pattern (Frequency)

Daily Monthly Yearly Others, please specify:

Transaction Channel

Online Transfer Telegraphic Transfer Cheque

Note: You may select more than one (1)

Hold prominent position in organisation including political party

Yes No

If Yes, please specify position

2. PARTICULARS OF JOINT APPLICANT

Name of Joint Holder

(as per NRIC / Passport /Others)

New NRIC No. / Passport No / Others

Date of Birth (DD/MM/YYYY)

Residential Address

Postcode

Town / City:

State:

Country:

Correspondence Address

(if different from residential address)

Postcode

Town / City:

State:

Country:

Telephone No. (office)

Telephone No.(house)

Handphone No. (mobile)*

Email Address*

Nationality

Country of Residence

Religion

Race

 Malay Chinese Indian Others, please specify

Marital Status

 Married Single Widowed Divorced No. of Dependants (please indicate)

Gender

 Male Female

Employment Status

 Employed Self-employed Unemployed

Occupation

Name of Employer

Employer / Self-employment

Address

Postcode

Town / City:

State:

Country:

Annual Income

 ≤ RM60,000 ≤ RM120,001 - RM180,000 > RM240,001 ≤ RM60,001 - RM120,000 ≤ RM180,001 - RM240,000

Asset Net Worth

 ≤ RM300,000 RM300,000 - RM1million RM1million - RM3million > RM3million

Source of Wealth and Net Worth

 Salary Business Income Investment Returns Pension Funds Rental Income Inheritance Others, please specify:

Purpose of Investment

Expected Investment Amount per annum / Maximum amount to be invested per annum

Anticipated transaction pattern (Frequency)

 Daily Monthly Yearly Others, please specify:

Transaction Channel

 Online Transfer Telegraphic Transfer Cheque

Note: You may select more than one (1)

Hold prominent position in organisation including political party

 Yes No

If Yes, please specify position

Relationship to Principal Applicant

3. BANK ACCOUNT DETAILS FOR REDEMPTION PAYMENT

Bank Name:

Bank Account Holder Name:

Type of Account:

 Current Saving

Account Ownership:

 Single Joint

Account Number:

4. JOINT APPLICANT ACCOUNT OPERATING MODE/INSTRUCTION AND REDEMPTION PAYMENT (IF APPLICABLE)

Account Operating Mode/Instruction Principal Applicant Only Joint Applicant Only Either Applicant to sign Both Applicants to sign

In the absence of written explicit instructions, I/We acknowledge that instructions must be given by both of us.

*Redemption payment to be issued in the name of: Principal Applicant Only Joint Applicant Only All Applicant

*Note: both Principal Applicant and Joint Applicant are required to sign the Repurchase (Redemption) Form should the proceeds to be credited into a single account.

5. DECLARATIONS AND SIGNATURES

- I/We acknowledge that I/We have received, read and understood the Disclosure Document for the fund(s) to be invested in, the terms and conditions of this Account Opening Form and I/We undertake to be bound by them for my/our initial and subsequent transactions with KAF IF.
- I/We acknowledge that I am / We are aware of the fees and charges that I/We will incur directly or indirectly when investing in the fund(s). I/We also acknowledge that fees and charges payable to KAF IF and the trustee are subject to any tax as may be imposed by the government or other authorities from time to time.
- I/We undertake to be bound by the provisions of the documents constituting the fund(s) subscribed to as if I was / We were a party thereto.
- I am / We are 18 years old and above as at the date of this application.
- I/We do declare and represent that as at the date hereof, I am / We are not an undischarged bankrupt nor has any petition for bankruptcy been filed against me/us.
- I/We undertake to provide KAF IF with all information as it may require for the purpose of and in connection with completing the Account Opening Form, including but not limited to, my/our information on financial position, condition or prospect.
- I/We acknowledge that I/We shall keep KAF IF informed of any change of my/our particulars as stated in this Account Opening Form and/or of any material facts that will, direct or indirectly, affect my/our financial position(s), condition(s) or prospect(s).

FOREIGN ACCOUNT TAX COMPLIANCE ACT (FATCA) AND COMMON REPORTING STANDARD (CRS)

- I/We hereby give my/our consent to KAF IF to report my/our information to regulatory or tax authorities in accordance with the requirements of FATCA and CRS as may be stipulated by the applicable laws, regulations, agreements, regulatory guidelines or directives.

DECLARATION ON ANTI-MONEY LAUNDERING, ANTI-TERRORISM FINANCING AND PROCEEDS OF UNLAWFUL ACTIVITIES ACT 2001 (AMLATFAPUAA)

- I am/We are fully aware of the provisions of the AMLATFAPUAA and hereby declare that all information given hereunder is true and accurate and further agree that I/We shall, upon request by KAF IF, provide all relevant documents to verify, including but not limited to my/our identity, domicile, legal capacity, occupation, etc.
- I/We further agree and undertake that I/We will on demand pay and make good to KAF IF the amount of all loss, damage and expense which may be sustained or incurred by KAF IF in respect of any contravention of any provisions of AMLATFAPUAA caused by me/us howsoever arising.
- I/We hereby confirm, represent and shall ensure that all funds used or to be used in respect of any of my/our transactions with KAF IF are obtained or shall be obtained from legitimate and lawful sources AND NOT generated from any unlawful activity either directly or indirectly such as including but not limited to drug trafficking, arms dealing or terrorism or arising from or in connection with any of the serious offences as described in Second Schedule to AMLATFAPUAA or any unlawful or fraudulent activities covered by AMLATFAPUAA or any other existing laws of this country.
- I/We further consent and confirm that KAF IF may rely on my/our representation and/or information and/or documents provided to KAF IF as indicated above, in discharge of any of KAF IF's obligations, if any, under AMLATFAPUAA.

DECLARATION ON MALAYSIAN ANTI-CORRUPTION COMMISSION ACT (MACC)

- I/We hereby declare that I/We shall not offer or give bribes, monetary or non-monetary offerings or use influence on any individuals in KAF IF or KAF Group of companies or any other individuals, as gratification to obtain this engagement.
- If there is any attempt of bribery from any party from KAF IF or KAF Group of companies, I/We shall immediately lodge a report with the relevant authorities. I/We am aware that failure to do so is an offence under the MACC.

DECLARATION FOR PERSONAL DATA PROTECTION ACT 2010 (PDPA)

- I/We hereby declare that I/We agree and authorise KAF IF to disclose at any time and without liability to me/us, information about or with regards to my/our affairs and/or accounts when required by law or by order of court of competent jurisdiction, or by any rule, direction or regulation of any regulatory or governmental authority, to the extent permitted by law.
- In accordance with the PDPA, I/We hereby agree that KAF IF may collect, use and disclose our personal data for the purposes as stated in the KAF IF's Privacy Statement that is available on KAF IF's website, <https://www.kaf.com.my/Terms-Of-Use-Privacy-Statement#Privacy> (Kindly note that, by signing this Account Opening Form, you will be deemed to have agreed and consented to the KAF IF's Privacy Statement stated therein. You are advised to visit the KAF IF's website, <https://www.kaf.com.my/Terms-Of-Use-Privacy-Statement#Privacy> for further details on how the data protection works on you, including how you may access and correct your personal data or withdraw consent to the collection).

With regards to promotional and marketing materials:

- Yes, I/We expressly agree to KAF IF and/or other entities processing my/our personal data for promotional and marketing purposes.
- No, I/We do not agree to KAF IF and/or other entities processing my/our personal data for promotional and marketing purposes.

I/We purposes.

- agree to abide by all applicable rules and regulations of the Capital Markets and Services Act 2007, the FATCA and CRS, the AMLATFAPUAA, the MACC, the PDPA or any relevant regulatory body as are in force from time to time which governs the operations of this account; and
- agree to indemnify KAF IF against all actions, suits, proceedings, claims, damages and losses which may be suffered by KAF IF as a result of any inaccuracy of the declarations herein.

No delay or omission by KAF IF in exercising any right under this declaration will operate as a waiver of that or any other right. The covenants set out in this declaration are separate and severable and enforceable accordingly and whilst the restrictions are considered by the parties to be reasonable in all the circumstances as at the date hereof, it is acknowledged that restrictions of such a nature may be invalid because of changing of the circumstances or other unforeseen reasons and accordingly, if any restrictions shall be adjudged to be void or ineffective for whatever reason but would be adjudged to be valid and effective if part of the wording thereof were deleted or the periods thereof reduced, such modifications shall be applied as may be necessary to make them valid and effective.

Name of Principal:
Date:

Name of Joint Applicant (if applicable):
Date:

TERMS AND CONDITIONS

You are advised to read and understand the Disclosure Document before investing in the fund(s).

• INDIVIDUAL/PRINCIPAL APPLICANT

Applicant must be 18 years old and above.

- Please enclose a photocopy of your identity card or passport.

• JOINT APPLICANT

If aged 18 years old and above, he/she is also required to sign the Account Opening Form.

- Please enclose a photocopy of your identity card or passport.

In the case of death of a joint-holder, the surviving holder will be the only person recognised by KAF IF and the respective trustee as having any title or interest in the units held.

• MINIMUM INVESTMENT

Initial and subsequent investment must be as the minimum amount stated in the Disclosure Document.

• INCOME DISTRIBUTION

Depending on the fund invested and subject to Disclosure Document, income distribution will be reinvested into further units in the relevant fund unless been stated otherwise.

• INVESTMENT

All monies due and payable to KAF IF shall be made with clear funds and any cheques issued must be honoured when presented.

No physical cash shall be accepted as payment for investment.

If any application made which been rejected by KAF IF for whatsoever reason, whether in part or whole, any monies paid or remaining balance thereof will be returned (without interest or return) and any costs or expenses incurred thereof shall be borne solely by the investor.

Any investment, repurchase or switching requests received after the respective fund's cut-off time or on the fund's non-business day will be treated as the next business day's transaction.

Sending cash or transfer money to any unit trust consultant is prohibited and any payment made by a third party other than applicant or account holders is not allowed.

• REDEMPTION

If following a repurchase request leaves you with less than the minimum investment balance as stated in the Disclosure Document, KAF IF has the option to liquidate the balance of the units held in your fund's account and forward the proceeds to you accordingly.

Payment of repurchase proceeds to a third party is strictly prohibited.

• RIGHTS OF THE KAF IF

KAF IF reserves the right to accept or reject any application in whole or in part thereof and reject any Account Opening Form which is not completed in full and supported by the requested documents and payments.

• CUSTOMER CARE

If you require further information or clarification, please contact KAF IF Customer Service for assistance.

• USAGE OF ELECTRONIC COMMUNICATION* [mandatory to submit handphone no. (mobile) and email address]

You are automatically enrolled to receive funds' reports, for both the annual report and the semi-annual report, statements of investments as well as other notices pertaining to your investments in the funds via electronic medium.

Nonetheless, you may choose to opt-out from receiving the documents electronically in favour of hardcopies by notifying KAF IF in writing.

• NOTICES

All notices and other communications sent by or to the applicant shall be sent at the risk of the applicant. Unless due to willful default or negligence of KAF IF, KAF IF shall not be responsible for any inaccuracy, interruption, error, delay or failure in transmission or delivery of any notices via whatever means, or for any equipment failure or malfunction. KAF IF shall not be liable for any direct or indirect consequential losses arising from the foregoing.

6. FOR DISTRIBUTOR AND SALES & MARKETING

Submitted by:	Attended by Sales & Marketing:
Name:	Name:
UTC Code:	Date:
Date:	

7. FOR OFFICE USE ONLY

Account No. _____ Trans. No. _____ Price (RM) _____

Input by / Date	Checked by:
Name:	Name:
Date:	Date:



Purchase Form (Individual)

In compliance with the Capital Markets and Services Act 2007, this Purchase Form should not be circulated unless accompanied by the relevant master prospectus(es)/ prospectus(es) and its supplementary(ies) (if any) [collectively shall be referred to as Disclosure Document]. Investor(s) should read and understand the contents of the Disclosure Document.

Please complete in BLOCK LETTERS only, and tick(✓) where applicable. For first time investor(s), please fill up the Account Opening Form to be submitted with this Purchase Form. KAF Investment Funds Berhad shall be referred to as **KAF IF** in this Purchase Form.

1. PARTICULARS OF INDIVIDUAL/PRINCIPAL APPLICANT

Name of Individual/Principal Applicant	
(as per NRIC / Passport /Others)	
New NRIC No. / Passport No / Others	
Handphone No. (mobile)	
Email Address	

Nationality or Citizenship (please select whichever applicable)

<input type="checkbox"/> Malaysian Citizen	Permanent Resident Status of a Country OUTSIDE Malaysia	Length of Time Residing Outside Malaysia	Residency Status
	<input type="checkbox"/> No	N/A	<input type="checkbox"/> Resident
	<input type="checkbox"/> Yes	<input type="checkbox"/> ≥ 182 days [^] <input type="checkbox"/> < 182 days [^]	<input type="checkbox"/> Non-Resident <input type="checkbox"/> Resident
<input type="checkbox"/> Non-Malaysian Citizen	Permanent Resident Status IN Malaysia	Length of Time Residing Outside Malaysia	Residency Status
	<input type="checkbox"/> No	N/A	<input type="checkbox"/> Resident
	<input type="checkbox"/> Yes	<input type="checkbox"/> ≥ 182 days [^] <input type="checkbox"/> < 182 days [^]	<input type="checkbox"/> Resident <input type="checkbox"/> Non-Resident

[^] Computation of 182 days is based on per calendar year and need not be on a continuous basis. Per calendar year means from 1 January 202X to 31 December 202X.

Country of Origin (Mandatory for Non-Malaysia or PR Outside Malaysia to declare)

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Employment Status Employed Self-employed Unemployed

Source of Funds for Investment Salary Business Income Pension funds / Retiree

(please tick on the applicable item and provide the necessary information)

(a) Name of employer (employed) / Business (self-employed) / Name of previous employer (retiree)

(b) Nature of business of the entity named in (a)

(c) Name of pension fund

Income from investment (e.g. derived from investment in capital market products such as bonds, shares, mutual funds or sales or assets/property/rental income)

Inheritance Estimation amount:

Support from parents/spouse Estimation amount:

Others Please specify :

2. PARTICULARS OF JOINT APPLICANT

Name of Joint Holder	
(as per NRIC / Passport /Others)	
New NRIC No. / Passport No / Others	
Handphone No. (mobile)	
Email Address	

Nationality or Citizenship (please select whichever applicable)

<input type="checkbox"/> Malaysian Citizen	Permanent Resident Status of a Country OUTSIDE Malaysia	Length of Time Residing Outside Malaysia	Residency Status
	<input type="checkbox"/> No	N/A	<input type="checkbox"/> Resident
	<input type="checkbox"/> Yes	<input type="checkbox"/> ≥ 182 days [^] <input type="checkbox"/> < 182 days [^]	<input type="checkbox"/> Non-Resident <input type="checkbox"/> Resident
<input type="checkbox"/> Non-Malaysian Citizen	Permanent Resident Status IN Malaysia	Length of Time Residing Outside Malaysia	Residency Status
	<input type="checkbox"/> No	N/A	<input type="checkbox"/> Resident
	<input type="checkbox"/> Yes	<input type="checkbox"/> ≥ 182 days [^] <input type="checkbox"/> < 182 days [^]	<input type="checkbox"/> Resident <input type="checkbox"/> Non-Resident

[^] Computation of 182 days is based on per calendar year and need not be on a continuous basis. Per calendar year means from 1 January 202X to 31 December 202X.

Country of Origin (Mandatory for Non-Malaysia or PR Outside Malaysia to declare)

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3. DETAILS OF INVESTMENT APPLICATION

Fund Name	*Plan	Amount (RM)	Investment Type	
1.			<input type="checkbox"/> Current	<input type="checkbox"/> Additional
2.			<input type="checkbox"/> Current	<input type="checkbox"/> Additional
3.			<input type="checkbox"/> Current	<input type="checkbox"/> Additional
		TOTAL		

* Note: C - Cash Plan / E - EPF Plan

Payment Mode for investment :

- Online Transfer Telegraphic Transfer EPF Investment Scheme ("EPF")
 Cheque (Bank _____ No. _____)

Note:

- Cheque should be crossed and made payable to "KAF Investment Funds Berhad". You should write your full name and NRIC No. on the back of each cheque. The cheque(s) and the remittance advice slip must be attached with this Purchase Form.
- Sending cash or transfer money to any unit trust consultant is prohibited and any payment made by a third party other than applicant or account holders is not allowed.**

4. LOAN FINANCING RISK DISCLOSURE STATEMENT

Investing in a unit trust fund with borrowed money is more risky than investing with your own savings. You should assess if loan financing is suitable for you in light of your objectives, attitude to risk and financing circumstances. You should be aware of the risk, which would include the following:-

- The higher the margin of financing (that is, the amount of money you borrow for every ringgit of your own money which you put in as deposit or down payment), the greater the loss or gains on your investment.
- You should assess whether you have the ability to service the repayments on the proposed loan. If your loan is a variable rate loan, and if interest rates rise, your total repayment amount will be increased.
- If unit prices fall beyond a certain level, you may be asked to provide additional acceptable collateral (where units are used as collateral) or pay additional amount on top of your normal installments. If you fail to comply within the prescribed time, your units may be sold towards the settlement of your loan.
- Returns on unit trusts are not guaranteed and may not be earned evenly over time. This means that there may be some years where returns are high and other years where losses are experienced. Whether you eventually realise a gain or incur loss may be affected by the timing of the sale of your units. The value of units may fall just when you want you money back even though the investment may have done well in the past.

This brief statement cannot disclose all the risks and other aspects of loan financing. You should therefore carefully study the terms and conditions before you decide to take a loan. If you are in doubt of any aspect of this Risk Disclosure Statement or the terms of the loan financing, you should consult the institution offering the loan.

5. DECLARATIONS & SIGNATURES

- I/We acknowledge that I/We have received, read and understood the Disclosure Document for the fund(s) to be invested in, the terms and conditions of this Purchase Form and I/We undertake to be bound by them for my/our initial and subsequent transactions with KAF IF.
- I/We acknowledge that I / We have read and understood the contents of the investment Loan Financing Risk Disclosure Statement.
- I/We acknowledge that I am / We are aware of the fees and charges that I/We will incur directly or indirectly when investing in the fund(s). I/We also acknowledge that fees and charges payable to KAF IF and the trustee are subject to any tax as may be imposed by the government or other authorities from time to time.
- I/We acknowledge and agree that KAF IF reserves the right to accept or reject the application in whole or part without assigning any reasons in respect thereof. I am/We are aware that KAF IF may initiate any form of communications to verify and/or validate the application and I/We will provide the confirmation as required within the prescribed timeframe.
- I/We acknowledge that confirmation advices, statements and other documents shall be sent to my/our latest address as notified to KAF IF and shall be at my/or own risk. In the event that I/We fail to notify KAF IF in writing of any discrepancy in the confirmation advice and/or statement within 90 calendar days from the date of issuance, I/We shall be deemed to have waived any right to raise any objection or to pursue any remedies against KAF IF for such case.
- I/We undertake to be bound by the provisions of the documents constituting the fund(s) subscribed to as if I was / We were a party thereto.
- I/We do declare and represent that as at the date hereof, I am / We are not an undischarged bankrupt nor has any petition for bankruptcy been filed against me/us.
- I/We hereby declare and acknowledge that I/We have sole legal and proprietary right over all monies accompanying this application. I/We further confirm that all monies paid or to be paid to KAF IF come from lawful source and not from unlawful activities.
- I/We hereby agree to indemnify KAF IF against all actions, suits, proceedings, claims, damages and losses which may be suffered by KAF IF in connection with or in any manner out of KAF IF acting or on my/our instruction(s) as stated above and any inaccuracy of the instruction(s) including as a result of any inaccuracy of the declarations herein.

Name of Individual/Principal Applicant

Date: _____

Name of Joint Applicant

Date: _____

Important: Pre-signing of forms relating to investments is strictly prohibited.**6. FOR DISTRIBUTOR AND SALES & MARKETING USE ONLY**

Submitted by:	Attended by Sales & Marketing:
Name:	Name:
UTC Code:	Date:
Date:	

7. FOR OFFICE USE ONLY

Account No. _____	Trans. No. _____	Price (RM) _____
Processed by:	Checked by:	
Name:	Name:	
Date:	Date:	



ACCOUNT OPENING FORM (Corporate)

In compliance with the Capital Markets and Services Act 2007, this Form should not be circulated unless accompanied by the relevant master prospectus(es)/ prospectus(es) and its supplementary(ies) (if any) [collectively shall be referred to as Disclosure Document]. Investor(s) should read and understand the contents of the Disclosure Document.

Please complete in BLOCK LETTERS only, and tick(✓) where applicable. For first time Investor(s), this Account Opening Form is required to be completed. KAF Investment Funds Berhad shall be referred to as **KAF IF** in this Account Opening Form.

1. PARTICULARS OF CORPORATE APPLICANT

Name of Corporate Applicant
(as in certificate of incorporation)

Company Registration No.

Date of Incorporation/Registration

Country of Incorporation/Registration

Status of Residency

Malaysian Resident

Non-Malaysian Resident. Please specify country of resident :

Registered Address

Postcode

Town / City:

State:

Country:

Principal Place of Business
(if different from Registered Address)

Postcode

Town / City:

State:

Country:

Correspondence Address
(if different from Registered Address)

Postcode

Town / City:

State:

Country:

Type of Corporation

Private Limited Sole Proprietorship Partnership State / Federal

Public Limited Co-Operative Public listed corporation

Association / Club / Society / Trust foundation / Charity body Others, please specify: _____

Affiliation

Are you an affiliate company of a group of companies ?

Yes (Please provide corporate structure) No

Nature of Business

Status of Incorporation

Malaysian Bumiputera Controlled Malaysian Non-Bumiputera Controlled

Non-Malaysian Controlled State / Government Controlled

Contact Person (1)

Name

Designation

Department

Telephone No. (Office)

Handphone No. (mobile)*

Office Email Address*

Fax No.

Contact Person (2)

Name

Designation

Department

Telephone No. (Office)

Handphone No. (mobile)*

Office Email Address*

Fax No.

Asset Net Worth

≤ RM10,000,000 RM100,000,001 - RM500,000,000

RM10,000,001 - RM100,000,000 > RM500,000,000

Source of Wealth and Net Worth

Investments Business Income

Others, please specify: _____

Source of Funds for Investment

Disposal of non-core business/ Asset / Investments

Cash in Hand / Surplus Fund / Working Capital/ Asset / Investments

Fund raising exercise such as right issues / Public Offering

Others, please specify: _____

Purpose of Investment _____
 Expected Investment Amount per annum / Maximum amount to be invested per annum _____
 Anticipated transaction pattern (Frequency) Daily Monthly Yearly Others, please specify: _____
 Transaction Channel Online Transfer Telegraphic Transfer Cheque
 Note: You may select more than one (1)

2. PARTICULARS OF BENEFICIAL OWNER

"Beneficial Owner" means the natural person who ultimately owns or controls the customer or the natural person on whose behalf a transaction is conducted or business relations are established and includes any person who exercises ultimate effective control over a legal person or legal arrangement. Reference to "ultimately owns or controls" and "ultimate effective control" refer to situations in which ownership/control is exercised through a chain of ownership or by means of control other than direct control.

Name of Beneficial Owner _____
 (as per NRIC / Passport /Others) _____
 New NRIC No. / Passport No / Others _____
 Date of Birth (DD/MM/YYYY) _____ - _____ - _____
 Residential Address _____

 Postcode _____ State: _____ Town / City: _____
 Country: _____
 Correspondence Address _____
 (if different from residential address) _____
 Postcode _____ State: _____ Town / City: _____
 Country: _____
 Telephone No. (office) _____
 Telephone No.(house) _____
 Handphone No. (mobile) _____
 Email Address _____
 Nationality _____
 Country of Residence _____
 Religion _____
 Race Malay Chinese Indian Others, please specify _____
 Marital Status Married Single Widowed Divorced No. of Dependants (please indicate) _____
 Gender Male Female
 Employment Status Employed Self-employed Unemployed
 Occupation _____
 Name of Employer _____
 Employer / Self-employment Address _____

 Postcode _____ State: _____ Town / City: _____
 Country: _____
 Nature of Business _____
 Annual Income ≤ RM60,000 ≤ RM120,001 - RM180,000 > RM240,001
 ≤ RM60,001 - RM120,000 ≤ RM180,001 - RM240,000
 Asset Net Worth ≤ RM300,000 RM300,000 - RM1million RM1million - RM3million > RM3million
 Source of Wealth and Net Worth Salary Business Income Investment Returns Pension Funds
 Rental Income Inheritance Savings
 Others, please specify: _____
 Hold prominent position in organisation including political party Yes No
 If Yes, please specify position _____
 Relationship to Corporate Applicant _____

3. PARTICULARS OF BENEFICIAL OWNER

"Beneficial Owner" means the natural person who ultimately owns or controls the customer or the natural person on whose behalf a transaction is conducted or business relations are established and includes any person who exercises ultimate effective control over a legal person or legal arrangement. Reference to "ultimately owns or controls" and "ultimate effective control" refer to situations in which ownership/control is exercised through a chain of ownership or by means of control other than direct control.

Name of Beneficial Owner _____
 (as per NRIC / Passport /Others) _____
 New NRIC No. / Passport No / Others _____
 Date of Birth (DD/MM/YYYY) _____ - _____ - _____
 Residential Address _____

 Postcode _____ State: _____ Town / City: _____
 Country: _____

- I/We undertake to provide KAF IF with all information as it may require for the purpose of and in connection with completing the Account Application Form, including but not limited to, the Corporation and its group of companies' information on financial position, condition, operation, business or prospect.
- I/We acknowledge that I/We shall keep KAF IF informed of any change of the information stated in this Account Opening Form and/or of any material facts that will, direct or indirectly, affect the Corporation and its group of companies' financial position, condition, operation, business or prospect.

FOREIGN ACCOUNT TAX COMPLIANCE ACT (FATCA) AND COMMON REPORTING STANDARD (CRS)

- I/We hereby give my/our consent to KAF IF to report my/our information to regulatory or tax authorities in accordance with the requirements of FATCA and CRS as may be stipulated by the applicable laws, regulations, agreements, regulatory guidelines or directives.

DECLARATION ON ANTI-MONEY LAUNDERING, ANTI-TERRORISM FINANCING AND PROCEEDS OF UNLAWFUL ACTIVITIES ACT 2001 (AMLATFAPUAA)

- I am/We are fully aware of the provisions of the AMLATFAPUAA and hereby declare that all information given hereunder is true and accurate and further agree that I/We shall, upon request by KAF IF, provide all relevant documents to verify, including but not limited to my/our identity, domicile, legal capacity, occupation, etc.
- I/We further agree and undertake that I/We will on demand pay and make good to KAF IF the amount of all loss, damage and expense which may be sustained or incurred by KAF IF in respect of any contravention of any provisions of AMLATFAPUAA caused by me/us howsoever arising.
- I/We hereby confirm, represent and shall ensure that all funds used or to be used in respect of any of my/our transactions with KAF IF are obtained or shall be obtained from legitimate and lawful sources AND NOT generated from any unlawful activity either directly or indirectly such as including but not limited to drug trafficking, arms dealing or terrorism or arising from or in connection with any of the serious offences as described in Second Schedule to AMLATFAPUAA or any unlawful or fraudulent activities covered by AMLATFAPUAA or any other existing laws of this country.
- I/We further consent and confirm that KAF IF may rely on my/our representation and/or information and/or documents provided to KAF IF as indicated above, in discharge of any of KAF IF's obligations, if any, under AMLATFAPUAA.

DECLARATION ON MALAYSIAN ANTI-CORRUPTION COMMISSION ACT (MACC)

- I/We hereby declare that I/We shall not offer or give bribes, monetary or non-monetary offerings or use influence on any individuals in KAF IF or KAF Group of companies or any other individuals, as gratification to obtain this engagement.
- If there is any attempt of bribery from any party from KAF IF or KAF Group of Companies, I/We shall immediately lodge a report with the relevant authorities. I/We am aware that failure to do so is an offence under the MACC.

DECLARATION FOR PERSONAL DATA PROTECTION ACT 2010 (PDPA)

- I/We hereby declare that I/We agree and authorise KAF IF to disclose at any time and without liability to me/us, information about or with regards to my/our affairs and/or accounts when required by law or by order of court of competent jurisdiction, or by any rule, direction or regulation of any regulatory or governmental authority, to the extent permitted by law.
- In accordance with the PDPA, I/ We hereby agree that KAF IF may collect, use and disclose our personal data for the purposes as stated in the KAF IF's Privacy Statement that is available on KAF IF's website, <https://www.kaf.com.my/Terms-Of-Use-Privacy-Statement#Privacy> (Kindly note that, by signing this Account Opening Form, you will be deemed to have agreed and consented to the KAF IF's Privacy Statement stated therein. You are advised to visit the KAF IF's website, <https://www.kaf.com.my/Terms-Of-Use-Privacy-Statement#Privacy> for further details on how the data protection works on you, including how you may access and correct your personal data or withdraw consent to the collection).

With regards to promotional and marketing materials:

- Yes, We expressly agree to KAF IF and/or other entities processing my/our personal data for promotional and marketing purposes.
- No, We do not agree to KAF IF and/or other entities processing my/our personal data for promotional and marketing purposes.

I/ We hereby declare that all information stated in this Account Opening Form is true and correct. I/ We also hereby declare that I/We:

- agree to abide by all applicable rules and regulations of the Capital Markets and Services Act 2007, the FATCA and CRS, the AMLATFAPUAA, the MACC, the PDPA or any relevant regulatory body as are in force from time to time which governs the operations of this account; and**
- agree to indemnify KAF IF against all actions, suits, proceedings, claims, damages and losses which may be suffered by KAF IF as a result of any inaccuracy of the declarations herein.**

No delay or omission by KAF IF in exercising any right under this declaration will operate as a waiver of that or any other right. The covenants set out in this declaration are separate and severable and enforceable accordingly and whilst the restrictions are considered by the parties to be reasonable in all the circumstances as at the date hereof, it is acknowledged that restrictions of such a nature may be invalid because of changing of the circumstances or other unforeseen reasons and accordingly, if any restrictions shall be adjudged to be void or ineffective for whatever reason but would be adjudged to be valid and effective if part of the wording thereof were deleted or the periods thereof reduced, such modifications shall be applied as may be necessary to make them valid and effective.

		
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Name of Authorised Signatory:

Date:

Name of Authorised Signatory:

Date:

TERMS AND CONDITIONS

You are advised to read and understand the Disclosure Document before investing in the fund(s).

DOCUMENTS REQUIRED

All documents shall be certified as true copies where applicable:

- Memorandum and Articles of Association or its equivalent.
- Board of directors' resolution to open an investment account, list of authorised signatories including its specimen signatures to operate the investment account.
- Photocopy of NRIC/passport of directors and authorised signatories.

For companies incorporated prior to 31 January 2017

- Certificate of Incorporation – Form 9 (for Sdn Bhd) / Section 17.
- Certificate for Commencement of Business – Form 23 (for Bhd).
- List of Directors & Secretary - Form 49 / Section 58.
- Shareholding/Issue & Paid up Capital - Form 24 / Section 78.
- Registered Office - Form 44 / Section 46(3).
- Certificate of Change of Name - Form 13 / Section 28.
- Latest Annual Return (within 3 months validity).
- Latest audited financial statement.

For companies incorporated on/after 31 January 2017

- Notice of Registration (Section 15) – Email Format / Certificate of Incorporation (Section 17).
- Application of registration of a Company (Section 14).
- Return for allotment of share - Section 78.
- Notification of Appointment of the first Company Secretary – Section 58 & 236(2).
- Notification of Change in the Register of Directors, Managers and Secretaries – Section 58.
- Notification of Change in the Registered Address - Section 46(3).
- Certificate of Change of Name - Section 28.
- Latest Annual Return (within 3 months validity).
- Latest audited financial statement.

KAF IF reserves the right to request additional documents to support application.

MINIMUM INVESTMENT

Initial and subsequent investment must be for a minimum amount stated in the Disclosure Document.

INCOME DISTRIBUTION

Depending on the fund invested and subject to Disclosure Document, income distribution will be reinvested into further units in the relevant fund unless been stated otherwise.

INVESTMENT

All monies due and payable to KAF IF shall be made with clear funds and any cheques issued must be honoured when presented.

No physical cash shall be accepted as payment for investment.

If any application made which been rejected by KAF IF for whatsoever reason, whether in part or whole, any monies paid or remaining balance thereof will be returned (without interest or return) and any costs or expenses incurred thereof shall be borne solely by the investor.

Any investment, repurchase or switching requests received after the respective fund's cut-off time or on the fund's non-business day will be treated as the next business day's transaction.

Sending cash or transfer money to any unit trust consultant is prohibited and any payment made by a third party other than applicant or account holders is not allowed.

REDEMPTION

If following a repurchase request leaves you with less than the minimum investment balance as stated in the Disclosure Document, KAF IF has the option to liquidate the balance of the units held in your fund's account and forward the proceeds to you accordingly.

Payment of repurchase proceeds to a third party is strictly prohibited.

RIGHTS OF THE KAF IF

KAF IF reserves the right to accept or reject any application in whole or in part thereof and reject any Account Opening Form which is not completed in full and supported by the requested documents and payments.

CUSTOMER CARE

If you require further information or clarification, please contact KAF IF Customer Service for assistance.

USAGE OF ELECTRONIC COMMUNICATION* [mandatory to submit handphone no. (mobile) and email address]

You are automatically enrolled to receive funds' reports, for both the annual report and the semi-annual report, statements of investments as well as other notices pertaining to your investments in the funds via electronic medium.

Nonetheless, you may choose to opt-out from receiving the documents electronically in favour of hardcopies by notifying KAF IF in writing.

NOTICES

All notices and other communications sent by or to the applicant shall be sent at the risk of the applicant. Unless due to willful default or negligence of KAF IF, KAF IF shall not be responsible for any inaccuracy, interruption, error, delay or failure in transmission or delivery of any notices via whatever means, or for any equipment failure or malfunction. KAF IF shall not be liable for any direct or indirect consequential losses arising from the foregoing.

7. FOR DISTRIBUTOR AND SALES & MARKETING

Submitted by:	Attended by Sales & Marketing:
Name:	Name:
UTC Code:	Date:
Date:	

8. FOR OFFICE USE ONLY

Account No. _____ Trans. No. _____ Price (RM) _____

Input by / Date	Checked by:
Name:	Name:
Date:	Date:



Level 13, Menara IQ, Lingkaran TRX, Tun Razak Exchange, 55188 Kuala Lumpur

Tel: 603-9767 6000 Fax: 603-9767 6001

Website: www.kaf.com.my

Account no:

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(for existing unit holder only)

In compliance with the Capital Markets and Services Act 2007, this Purchase Form should not be circulated unless accompanied by the relevant master prospectus(es)/ prospectus(es) and its supplementary(ies) (if any) [collectively shall be referred to as Disclosure Document]. Investor(s) should read and understand the contents of the Disclosure Document.

Please complete in BLOCK LETTERS only, and tick(✓) where applicable. For first time investor(s), please fill up the Account Opening Form to be submitted with this Purchase Form. KAF Investment Funds Berhad shall be referred to as **KAF IF** in this Form.

1. CORPORATE APPLICANT

Name of Corporate Applicant
(as in certificate of incorporation)
Company Registration No.

Contact Person (1)

Name

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Designation

Department

Telephone No. (Office)

Fax No.

Handphone No. (mobile)

Office Email Address

Contact Person (2)

Name

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Designation

Department

Telephone No. (Office)

Fax No.

Handphone No. (mobile)

Office Email Address

Source of Funds for Investment

(please tick on the applicable item and provide the necessary information)

- Intra group financing
- Business loan
- External investment
- Business income wealth (accumulated profit retained earnings)
- Investment by beneficial owner / partner
- Sale of property
- Stock transfer / fund raising exercise such as right issues / public offering
- Others Please specify :

2. DETAILS OF INVESTMENT APPLICATION

Fund Name	Amount (RM)	Investment Type
1.		<input type="checkbox"/> Current <input type="checkbox"/> Additional
2.		<input type="checkbox"/> Current <input type="checkbox"/> Additional
3.		<input type="checkbox"/> Current <input type="checkbox"/> Additional
TOTAL		

Payment Mode for investment :

- Online Transfer Telegraphic Transfer Cheque (Bank _____ No. _____)

Note:

- Cheque should be crossed and made payable to "KAF Investment Funds Berhad". You should write the company name and company registration no on the back of each cheque. The cheque(s) and the remittance advice slip must be attached with this Purchase Form.
- **Sending cash or transfer money to any unit trust consultant is prohibited and any payment made by a third party other than applicant or account holders is not allowed.**

3. LOAN FINANCING RISK DISCLOSURE STATEMENT

Investing in a unit trust fund with borrowed money is more risky than investing with your own savings. You should assess if loan financing is suitable for you in light of your objectives, attitude to risk and financing circumstances. You should be aware of the risk, which would include the following:-

- (i) The higher the margin of financing (that is, the amount of money you borrow for every ringgit of your own money which you put in as deposit or down payment), the greater the loss or gains on your investment.
- (ii) You should assess whether you have the ability to service the repayments on the proposed loan. If your loan is a variable rate loan, and if interest rates rise, your total repayment amount will be increased.
- (iii) If unit prices fall beyond a certain level, you may be asked to provide additional acceptable collateral (where units are used as collateral) or pay additional amount on top of your normal installments. If you fail to comply within the prescribed time, your units may be sold towards the settlement of your loan.

(iv) Returns on unit trusts are not guaranteed and may not be earned evenly over time. This means that there may be some years where returns are high and other years where losses are experienced. Whether you eventually realise a gain or incur loss may be affected by the timing of the sale of your units. The value of units may fall just when you want your money back even though the investment may have done well in the past.

This brief statement cannot disclose all the risks and other aspects of loan financing. You should therefore carefully study the terms and conditions before you decide to take a loan. If you are in doubt of any aspect of this Risk Disclosure Statement or the terms of the loan financing, you should consult the institution offering the loan.

4. DECLARATIONS & SIGNATURES

- I/We acknowledge that I/We have received, read and understood the Disclosure Document for the fund(s) to be invested in, the terms and conditions of this Purchase Form and I/We undertake to be bound by them for my/our initial and subsequent transactions with KAF IF.
- I/We acknowledge that I / We have read and understood the contents of the investment Loan Financing Risk Disclosure Statement.
- I/We acknowledge that I am / We are aware of the fees and charges that I/We will incur directly or indirectly when investing in the fund(s). I/We also acknowledge that fees and charges payable to KAF IF and the trustee are subject to any tax as may be imposed by the government or other authorities from time to time.
- I/We acknowledge and agree that KAF IF reserves the right to accept or reject the application in whole or part without assigning any reasons in respect thereof. I am/We are aware that KAF IF may initiate any form of communications to verify and/or validate the application and I/We will provide the confirmation as required within the prescribed timeframe.
- I/We acknowledge that confirmation advices, statements and other documents shall be sent to my/our latest address as notified to KAF IF and shall be at my/or own risk. In the event that I/We fail to notify KAF IF in writing of any discrepancy in the confirmation advice and/or statement within 90 calendar days from the date of issuance, I/We shall be deemed to have waived any right to raise any objection or to pursue any remedies against KAF IF for such
- I/We undertake to be bound by the provisions of the documents constituting the fund(s) subscribed to as if I was / We were a party thereto.
- I/We do declare and represent that as at the date hereof, I am / We are not an undischarged bankrupt nor has any petition for bankruptcy been filed against me/us.
- I/We hereby declare and acknowledge that I/We have sole legal and proprietary right over all monies accompanying this application. I/We further confirm that all monies paid or to be paid to KAF IF come from lawful source and not from unlawful activities.
- I/We hereby agree to indemnify KAF IF against all actions, suits, proceedings, claims, damages and losses which may be suffered by KAF IF in connection with or in any manner out of KAF IF acting or on my/our instruction(s) as stated above and any inaccuracy of the instruction(s) including as a result of any inaccuracy of the declarations herein.

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Name of Authorised signatory:

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Name of Authorised Signatory:



Date: _____

Date: _____

Important: Pre-signing of forms relating to investments is strictly prohibited.

5. FOR DISTRIBUTOR AND SALES & MARKETING USE ONLY

Submitted by: Name: UTC Code: Date:	Attended by Sales & Marketing: Name: Date:
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6. FOR OFFICE USE ONLY

Account No. _____ Trans. No. _____ Price (RM) _____

Processed by: Name: Date:	Checked by: Name: Date:
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